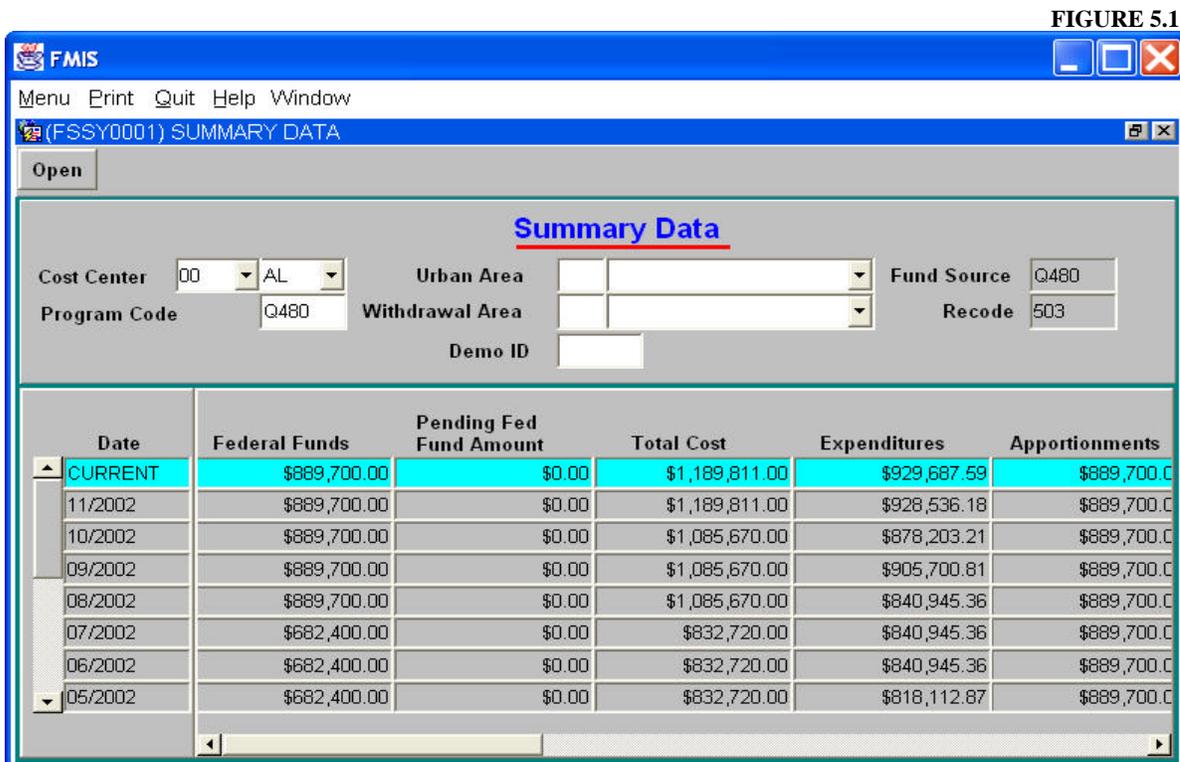


## CHAPTER 5 OBLIGATIONS, LIMITATION AND ALLOCATION DATA

### 5.1 SUMMARY DATA

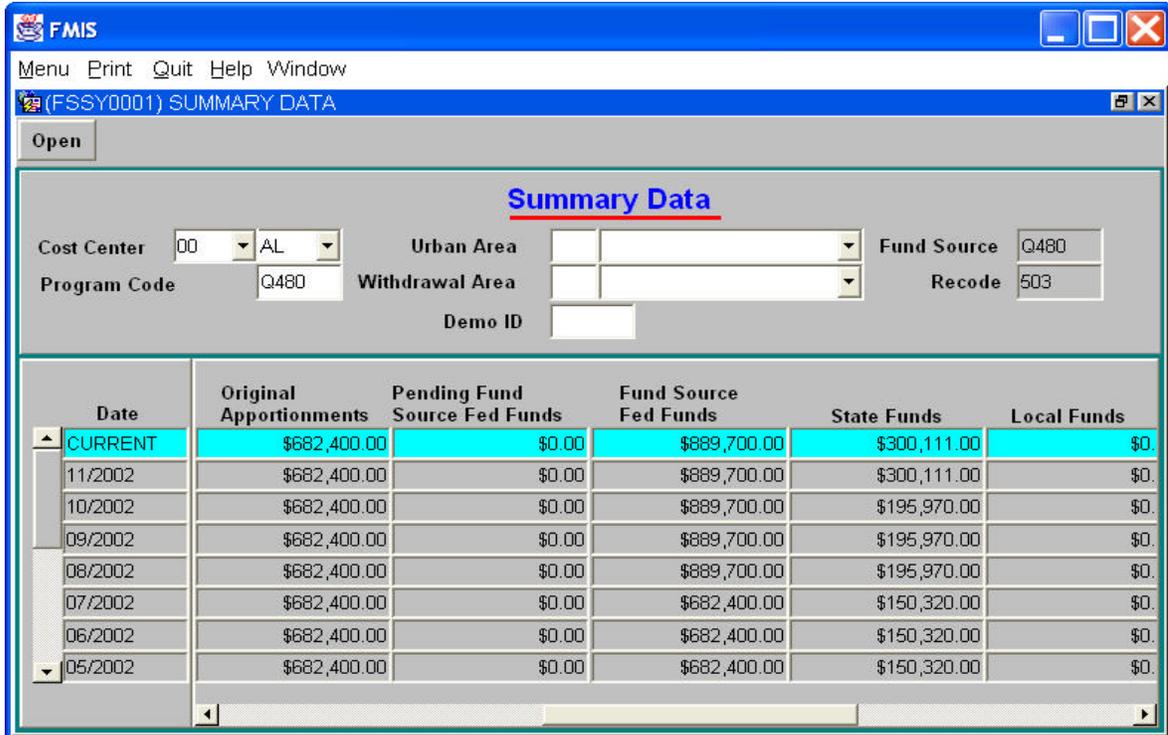
The SUMMARY DATA screen can be accessed from the Main Menu. Select the Cost Center; then enter a Program Code and other criteria, such as Urban Area, Withdrawal Area and Demo ID, if appropriate. Fund Source and Recode are system generated. Click OPEN to display.

FIGURE 5.1 displays data for Federal Funds, Pending Federal Funds, Total Cost, Expenditures and Apportionments.



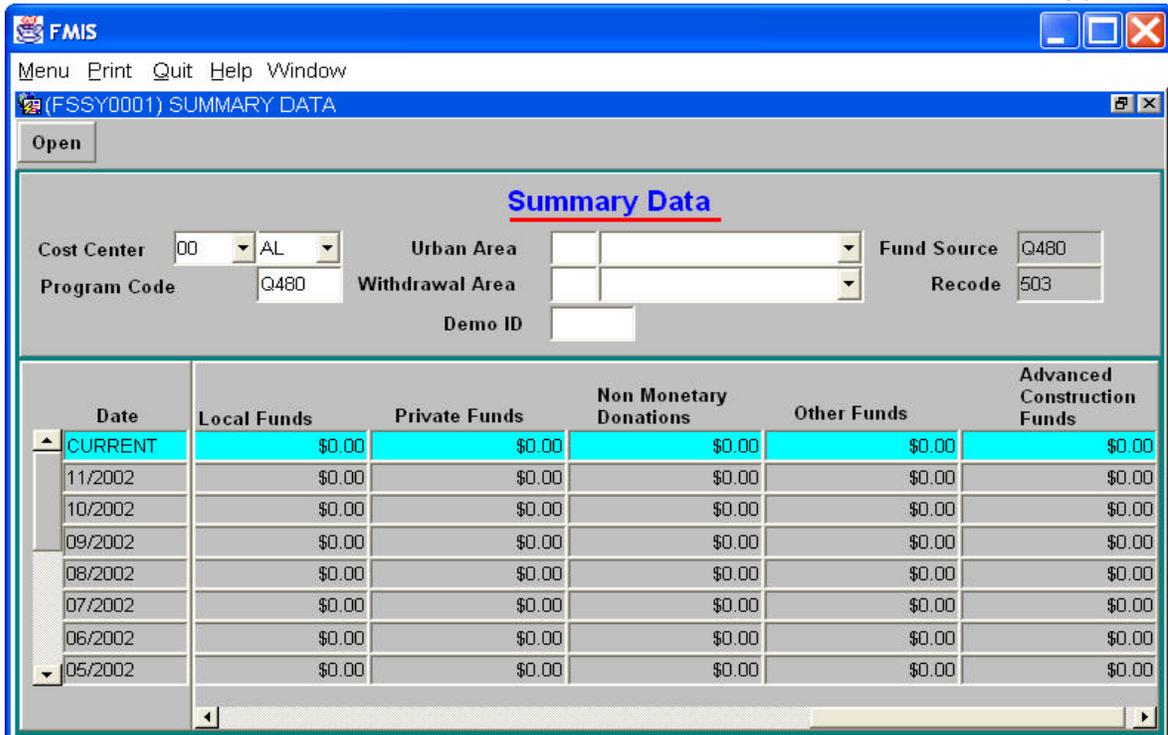
Scroll to the right to see additional information as displayed in FIGURE 5.1A - Original Apportionment, Pending Fund Source Federal Funds, Fund Source Federal Funds, State Funds and Local Funds. This screen can be used to determine unobligated balances by calculating the difference between Net Apportionments and Fund Source Federal Funds.

FIGURE 5.1A



Continue to scroll to the right, as shown in FIGURE 5.1B, to display Local, Private, Non-monetary, Other and Advance Construction, if applicable.

FIGURE 5.1B

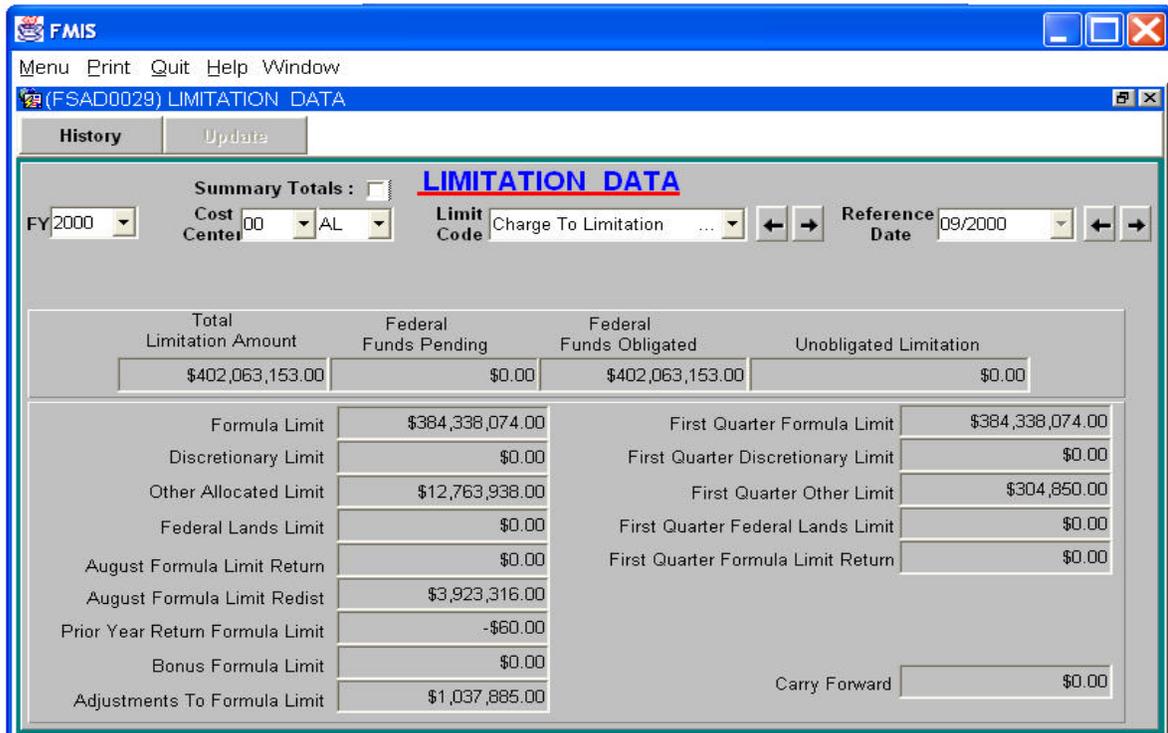


5.2 LIMITATION DATA

All users may view the status of the obligation authority (OA) referred to as Limitation Data by fiscal year and cost center from the Main Menu (FIGURE 2.2). This information also is available from report M38A. FIGURE 5.2 displays LIMITATION DATA screen. Limitation Types are Charge to Limitation (formula (Apportioned) and non-formula (Allocated and Discretionary)), Special Limitation, High Priority Project, Minimum Guarantee, Appalachia, Woodrow Wilson Bridge, Safety and Revenue Aligned Budget Authority (RABA) Special Projects. Also, Federal Lands' limitation is shown, as applicable.

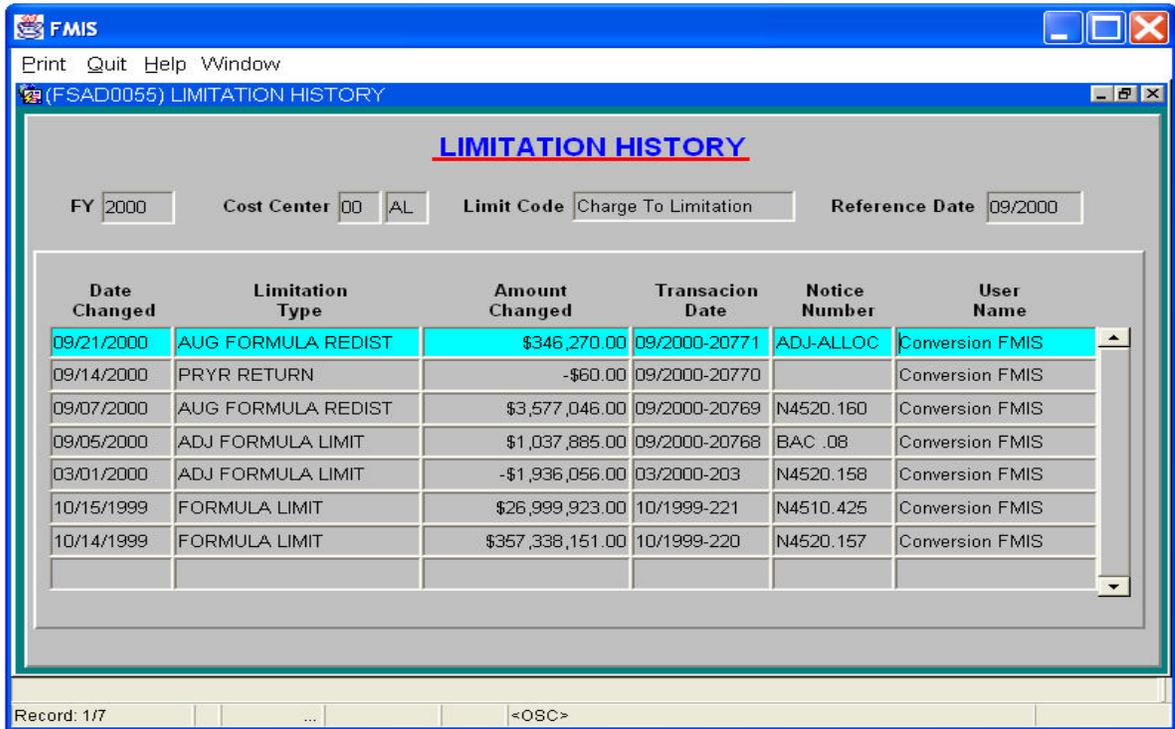
Bonus Limitation, First Quarter Limitation and August Redistribution of OA information is shown when applicable. When allocated funds subject to limitation are withdrawn, current year formula limitation is decreased and shown as Prior Year Return Formula Limit. All other adjustments are shown in Adj Formula Limit.

FIGURE 5.2



Click HISTORY to display the LIMITATION HISTORY screen as shown in FIGURE 5.2A.

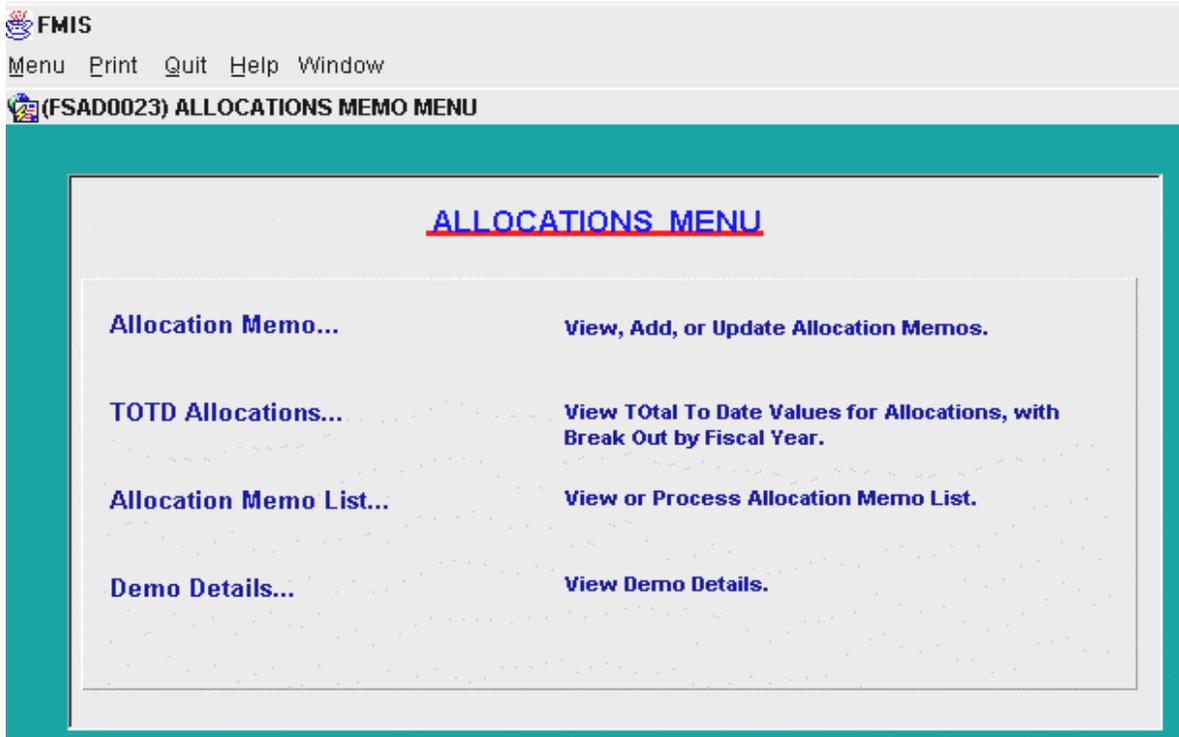
FIGURE 5.2A



### 5.3 ALLOCATIONS MENU

The “allocation” as it applies to the Federal-aid Highway Program refers to the distribution of funds to the States by administratively determined formula or criteria, and/or criteria provided in the law. The FHWA Program Offices and FMIS Team have authority to enter and update allocations via the ALLOCATIONS MENU. FIGURE 5.3 is accessed from the Main Menu (FIGURE 2.2) by clicking ALLOCATIONS.

FIGURE 5.3



#### 5.4 ALLOCATION MEMO

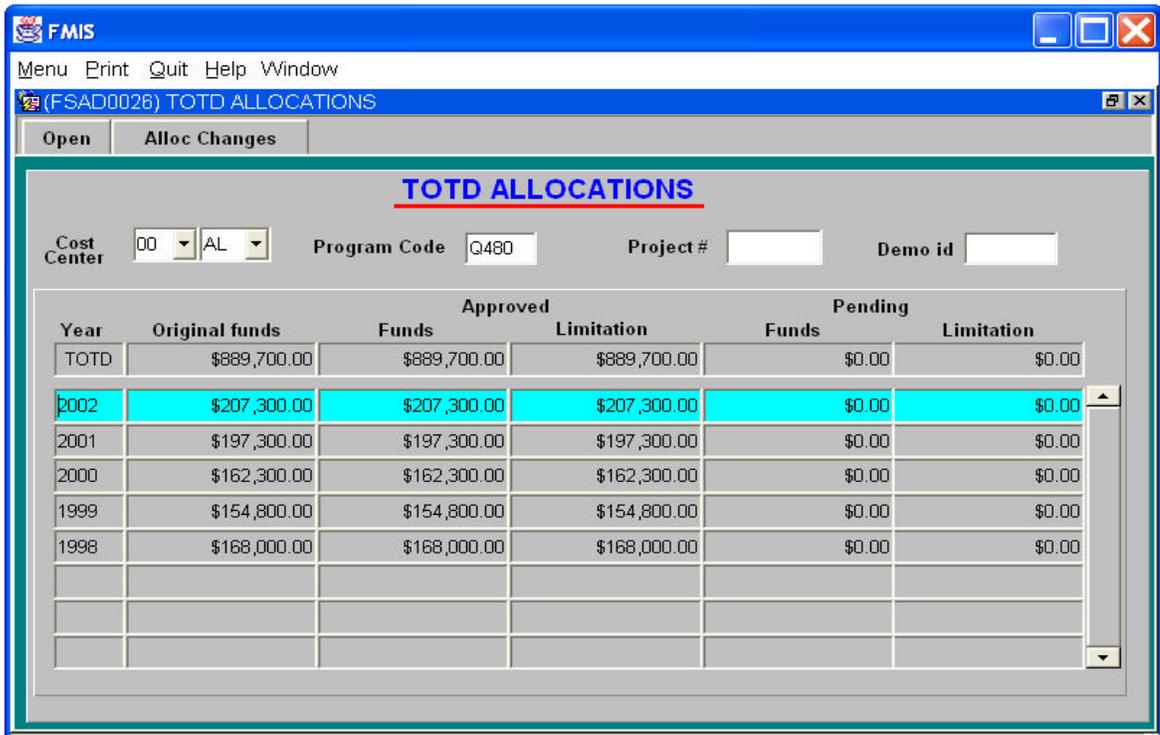
The ALLOCATION MEMO BY PROGRAM OFFICE is shown in FIGURE 5.4. When entered or updated by the Program Offices, the FMIS Team must approve before the funds are available to the States. All users may view the allocation memo by selecting FY, Program Code, Program Office and clicking OPEN.

FIGURE 5.4

### 5.5 TOTAL TO DATE (TOTD) ALLOCATIONS

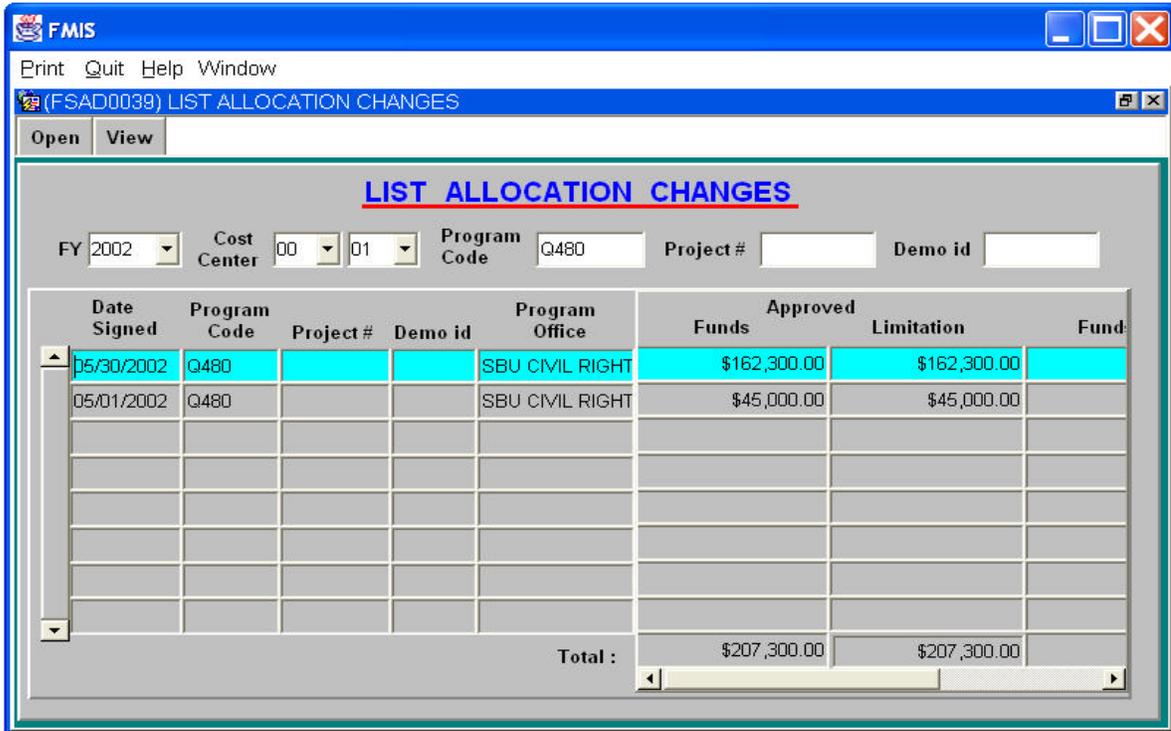
The TOTD ALLOCATIONS shown in FIGURE 5.5 displays all allocations by fiscal year. Approved allocations have been fully signed (approved) by all approval officials. Pending allocations are allocations entered by the Program Office or FMIS Team but not approved by the FMIS Team. Select Cost Center, enter program code and click OPEN.

FIGURE 5.5



To view all changes, click ALLOC CHANGES.

FIGURE 5.5A



5.6 LIST ALLOCATION MEMO

To view all allocation memos, access the ALLOCATION MEMO LIST from the Allocations Menu (FIGURE 5.3). All allocation memos are available to view as shown in FIGURE 5.6. The Allocation Memo may be accessed by highlighting a line item and clicking VIEW (see FIGURE 5.4).

FIGURE 5.6

